

ITW

DOCKET NO.: PECL-0011

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shelah Phillips

Confirmation No.: 4143

Application No.: 10/797,782

Group Art Unit: 3617

Filing Date: March 9, 2004

Examiner: Not Yet Assigned

For: WHEEL WEIGHT WITH BODY HAVING RECESS AND CLIP SECURED THEREIN

DATE OF DEPOSIT:

JUL 23 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

*Steven H. Meyer*

TYPED NAME: Steven H. Meyer  
REGISTRATION NO.: 37,189

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;  
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☒ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

## EXCEPT THAT:

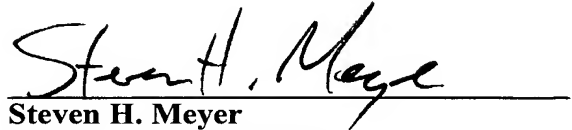
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. \_\_\_\_\_, filed \_\_\_\_\_.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

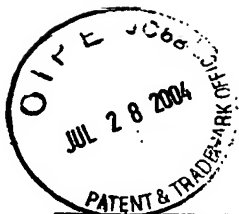
There are no listed references which are not in the English language.

Date:

July 23 2004

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No. <b>PECL-0011</b>		Application No. <b>10/797,782</b>	
				Applicant <b>Shelah Phillips</b>			
				Filing Date <b>March 9, 2004</b>		Group <b>3617</b>	
				Confirmation No. <b>4143</b>			
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	1	382,091	05/1888	Kelsea			
	2	2,122,064	06/38	Hume	301	5.21	
	3	2,304,816	12/42	Griffith	301	5.21	
	4	2,370,361	02/45	Jeune	301	5.21	
	5	2,632,673	03/53	Pfeiffer	301	5.21	
	6	2,640,727	06/53	Kennedy	301	5	
	7	2,765,998	10/56	Engert	248	29	
	8	3,002,388	10/61	Bageman	301	5.21	
	9	3,154,347	10/27/64	Griffith, T.B.	301	5.21	
	10	3,273,941	09/20/66	Skidmore, F.O.	301	5.21	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			



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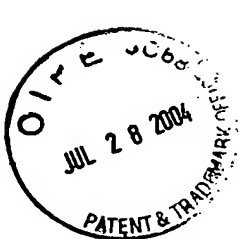
**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	11	3,669,500	06/13/72	Ende	301	5.21
	12	3,960,409	06/01/76	Songer	301	5.21
	13	4,300,803	11/17/81	Cjorosevic	301	5.21
	14	4,619,253	10/28/86	Anhauser, et al.	602	42
	15	5,350,220	09/27/94	Atwell, Jr.	301	5.21
	16	5,507,333	04/16/96	Augier	152	379.3
	17	5,770,288	06/23/98	Carney, Jr.	428	40.1
	18	5,876,817	03/02/99	Mathna, et al.	428	40.1
	19	6,238,005 B1	05/29/01	Sugayauchi, et al.	301	5.21
	20	6,260,929 B1	07/17/01	Oba, et al.	301	5.21

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	
	<b>21</b>	6,286,906 B1	09/11/01	Nagashima, et al.	301	5.21	
	<b>22</b>	6,413,626 B1	07/02/02	Wollner	428	317.3	
	<b>23</b>	6,520,721 B1	06/26/01	Oba, et al.	301	5.21	
	<b>24</b>	6,553,831 B1	04/29/03	Schmidt, et al.	73	470	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>		
					<b>YES</b>	<b>NO</b>	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			